

FinCEN Rules: How to comply in time

In the latest Alternative Insight episode, Aztec Group's [Sadrack Belony](#), Head of Investor Services U.S., and [Joanne Earles](#), Senior Client Relationship Manager, are joined by **Stefanie Langer**, Principal COO/CCO at Corten Real Estate, and **Mark Mangion**, Head of Financial Crime at Passthrough.

During this episode, they discuss:

1. The new US Financial Crime Enforcement Network (FinCEN) rules on AML and CFT.
2. The impact these changes will have on advisers.
3. The importance of starting the compliance process early.
4. Potential penalties for non-compliance.
5. Seeking expertise from third-party service providers.

Listen to the Alternative Insight podcast episode:

If you like what you heard, head to [Spotify](#), [Apple Podcasts](#) or wherever you listen to podcasts, find Alternative Insight podcast by the Aztec Group and hit the subscribe button, so that you receive all future episodes as soon as they're published!

Podcast disclaimer:

This recording has been prepared by the Aztec Group and is made available by Spreaker for and on behalf of the Aztec Group for private or non-commercial use. By accessing this podcast you acknowledge that the entire content and design of the podcast are the property of the Aztec Group and are protected under applicable laws and should only be used for private or other non-commercial use. You further acknowledge that neither Spreaker nor the Aztec Group provide any warranty, guarantee or representation as to the accuracy or sufficiency of the information featured in the podcast. Information and opinions are provided for general information purposes only and do not constitute legal or other professional advice. Any reliance you place on such information is strictly at your own risk. For full details [please click here](#).



Click here to talk to us



Click here to talk to us